

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

**Ref. Docket Nos. 9176,
9908-9912, 9918, 9926,
9928-9929 & 9931**

AFFIDAVIT OF SERVICE

[illegible]

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 30, 2010, I caused to be served personalized “Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4), samples of which are annexed hereto as Exhibit “A”, by causing true and correct copies of the Personalized Transfers, enclosed securely in separate postage pre-paid envelopes, to be delivered by first class mail to the parties listed on the annexed Exhibit “B”. I also caused to be served copies of the personalized Transfer, enclosed securely in a separate pre-paid envelope, to be delivered by first class mail to the party listed on the annexed Exhibit “C”.
3. All envelopes utilized in the service of the foregoing contained the following legend:
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

Sworn to before me this
1st day of July, 2010

/s/ Lauren Rodriguez
Lauren Rodriguez

/s/ *Elli Petris*

Notary Public, State of New York

No. 01PE6175879

Qualified in Nassau County

Commission Expires October 22, 2011

EXHIBIT A

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: SAPHIR FINANCE PLC-SERIES 2007-2
C/O THE BANK OF NEW YORK MELLON-LONDON BRANCH
ATTN : SANJAY JOBANPUTRA-VICE PRESIDENT, GLOBAL
CORPORATE TRUST
ONE CANADA SQUARE
LONDON E14 5AL
UNITED KINGDOM

SAPHIR FINANCE PLC-SERIES 2007-2
REED SMITH LLP
ATTN : MICHAEL J. VENDITTO, ESQ.
599 LEXINGTON AVENUE
NEW YORK NY 10022

Please note that your claim # 37214-01 in the above referenced case and in the amount of
\$37,636,344.35 has been transferred (**unless previously expunged by court order**)

FONDAZIONE CASSA DI RISPARMIO DI PADOVA E ROVIGO
TRANSFEROR: SAPHIR FINANCE PLC-SERIES 2007-2
PALAZZO DEL MONTE DI PIETA
PIAZZA DUOMO, 15
PADOVA 35141
ITALY

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9176 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 30, 2010.

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
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To: SAPHIR FINANCE PLC-SERIES 2007-2
REED SMITH LLP
ATTN : MICHAEL J. VENDITTO, ESQ.
599 LEXINGTON AVENUE
NEW YORK NY 10022

SAPHIR FINANCE PLC-SERIES 2007-2
C/O THE BANK OF NEW YORK MELLON-LONDON BRANC
ATTN : SANJAY JOBANPUTRA-VICE PRESIDENT, GLO
CORPORATE TRUST
ONE CANADA SQUARE
LONDON E14 5AL
UNITED KINGDOM

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TRANSFEROR: SAPHIR FINANCE PLC-SERIES 2007-2
PALAZZO DEL MONTE DI PIETA
PIAZZA DUOMO, 15
PADOVA 35141
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Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

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Chapter 11 Case No.

08-13555 (JMP)

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BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

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To: SAPHIR FINANCE PLC-SERIES 2007-2
FONDAZIONE CASSA DI RISPARMIO DI PADOVA E ROVIGO
ATTN: ROBERTO SARO
PIAZZA DUOMO 15
PADOVA 35141 ITALY

SAPHIR FINANCE PLC-SERIES 2007-2
C/O THE BANK OF NEW YORK MELLON-LONDON BRANC
ATTN : SANJAY JOBANPUTRA-VICE PRESIDENT, GLO
CORPORATE TRUST
ONE CANADA SQUARE
LONDON E14 5AL
UNITED KINGDOM

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\$37,636,344.35 has been transferred (**unless previously expunged by court order**)

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TRANSFEROR: SAPHIR FINANCE PLC-SERIES 2007-2
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PIAZZA DUOMO, 15
PADOVA 35141
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UNITED STATES BANKRUPTCY COURT
Southern District of New York
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New York, NY 10004-1408

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BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

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Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAYERISCHE HYPO- UND VEREINSBANK AG
ATTN: DR. JOHANNES WODSAK
ARABELLA STRASSE 12
MUNICH D-81925
GERMANY

BAYERISCHE HYPO- UND VEREINSBANK AG
JENNIFER C. DEMARCO & DAVID A. SULLIVAN
CLIFFORD CHANCE US LLP
31 WEST 52ND STREET
NEW YORK NY 10019

Please note that your claim # 63604-01 in the above referenced case and in the amount of
\$16,940,918.00 has been transferred (**unless previously expunged by court order**)

BARCLAYS BANK PLC
TRANSFEROR: BAYERISCHE HYPO- UND VEREINSBANK AG
745 SEVENTH AVENUE
NEW YORK NY 10019

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9908 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

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To: BAYERISCHE HYPO- UND VEREINSBANK AG
JENNIFER C. DEMARCO & DAVID A. SULLIVAN
CLIFFORD CHANCE US LLP
31 WEST 52ND STREET
NEW YORK NY 10019

BAYERISCHE HYPO- UND VEREINSBANK AG
ATTN: DR. JOHANNES WODSAK
ARABELLASTRASSE 12
MUNICH D-81925
GERMANY

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TRANSFEROR: BAYERISCHE HYPO- UND VEREINSBANK
745 SEVENTH AVENUE
NEW YORK NY 10019

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One Bowling Green
New York, NY 10004-1408

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Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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To: YORVIK PARTNERS LLP
TRANSFEROR: BANK SARASIN & CO LTD
ATTN: LISA KING
11 IRONMONGER LANE
LONDON EC2V 8EY
UNITED KINGDOM

Please note that your claim # 59301-01 in the above referenced case and in the amount of
\$543,420.30 has been transferred (**unless previously expunged by court order**)

DAVIDSON KEMPNER DISTRESSED OPPORTUNITIES INTERNATIONAL LTD
TRANSFEROR: YORVIK PARTNERS LLP
65 EAST 55TH ST, 19TH FL
NEW YORK NY 10022

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UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9909 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

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To: TOKAI TOKYO SECURITIES CO, LTD
6-2, NIHOMBASHI 3-CHOME
CHUO-KU
TOKYO 103-8275
JAPAN

TOKAI TOKYO SECURITIES CO, LTD
TOKAI TOKYO SECURITIES CO, LTD
17-21, SHINKAWA 1-CHOME
CHUO-KU
TOKYO 104-8317 JAPAN

Please note that your claim # 46185 in the above referenced case and in the amount of
\$952,231.84 has been transferred (**unless previously expunged by court order**)

SHINSEI SECURITIES CO LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

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UNITED STATES BANKRUPTCY COURT
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Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9910 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

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To: TOKAI TOKYO SECURITIES CO, LTD
TOKAI TOKYO SECURITIES CO, LTD
17-21, SHINKAWA 1-CHOME
CHUO-KU
TOKYO 104-8317 JAPAN

TOKAI TOKYO SECURITIES CO, LTD
6-2, NIHOMBASHI 3-CHOME
CHUO-KU
TOKYO 103-8275
JAPAN

Please note that your claim # 46185 in the above referenced case and in the amount of
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TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
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To: SHINSEI SECURITIES CO LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

Please note that your claim # 46185 in the above referenced case and in the amount of
\$952,231.84 has been transferred (**unless previously expunged by court order**)

GOLDMAN, SACHS & CO.
TRANSFEROR: SHINSEI SECURITIES CO LTD
30 HUDSON STREET, 36TH FLOOR
ATTN: ANDREW CADITZ
JERSEY CITY NJ 07302

GOLDMAN, SACHS & CO.
MANAGING CLERK
RICHARDS KIBBE & ORBE LLP
ONE WORLD FINANCIAL CENTER
NEW YORK NY 10281

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New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9911 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

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/s/ Lauren Rodriguez

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as claims agent for the debtor(s).

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To:

SHINSEI SECURITIES CO LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

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MANAGING CLERK
RICHARDS KIBBE & ORBE LLP
ONE WORLD FINANCIAL CENTER
NEW YORK NY 10281

GOLDMAN, SACHS & CO.
TRANSFEROR: SHINSEI SECURITIES CO LTD
30 HUDSON STREET, 36TH FLOOR
ATTN: ANDREW CADITZ
JERSEY CITY NJ 07302

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Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9911 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
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To: TOKAI TOKYO SECURITIES CO, LTD
6-2, NIHOMBASHI 3-CHOME
CHUO-KU
TOKYO 103-8275
JAPAN

TOKAI TOKYO SECURITIES CO, LTD
TOKAI TOKYO SECURITIES CO, LTD
17-21, SHINKAWA 1-CHOME
CHUO-KU
TOKYO 104-8317 JAPAN

Please note that your claim # 46192 in the above referenced case and in the amount of
\$956,870.36 has been transferred (**unless previously expunged by court order**)

SHINSEI SECURITIES CO. LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

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New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9912 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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To: TOKAI TOKYO SECURITIES CO, LTD
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17-21, SHINKAWA 1-CHOME
CHUO-KU
TOKYO 104-8317 JAPAN

TOKAI TOKYO SECURITIES CO, LTD
6-2, NIHOMBASHI 3-CHOME
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Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: SHINSEI SECURITIES CO. LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

Please note that your claim # 46192 in the above referenced case and in the amount of
\$956,870.36 has been transferred (**unless previously expunged by court order**)

GOLDMAN, SACHS & CO.
TRANSFEROR: SHINSEI SECURITIES CO. LTD
30 HUDSON STREET, 36TH FLOOR
ATTN: ANDREW CADITZ
JERSEY CITY NJ 07302

GOLDMAN, SACHS & CO.
MANAGING CLERK
RICHARDS KIBBE & ORBE LLP
ONE WORLD FINANCIAL CENTER
NEW YORK NY 10281

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9918 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 30, 2010.

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

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To:

SHINSEI SECURITIES CO. LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
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MANAGING CLERK
RICHARDS KIBBE & ORBE LLP
ONE WORLD FINANCIAL CENTER
NEW YORK NY 10281

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TRANSFEROR: SHINSEI SECURITIES CO. LTD
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JERSEY CITY NJ 07302

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UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

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Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
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Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: TOKAI TOKYO SECURITIES CO, LTD
6-2, NIHOMBASHI 3-CHOME
CHUO-KU
TOKYO 103-8275
JAPAN

TOKAI TOKYO SECURITIES CO, LTD
TOKAI TOKYO SECURITIES CO, LTD
17-21, SHINKAWA 1-CHOME
CHUO-KU
TOKYO 104-8317 JAPAN

Please note that your claim # 46193 in the above referenced case and in the amount of
\$951,899.46 has been transferred (**unless previously expunged by court order**)

SHINSEI SECURITIES CO. LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

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UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9926 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 30, 2010.

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

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To: TOKAI TOKYO SECURITIES CO, LTD
TOKAI TOKYO SECURITIES CO, LTD
17-21, SHINKAWA 1-CHOME
CHUO-KU
TOKYO 104-8317 JAPAN

TOKAI TOKYO SECURITIES CO, LTD
6-2, NIHOMBASHI 3-CHOME
CHUO-KU
TOKYO 103-8275
JAPAN

Please note that your claim # 46193 in the above referenced case and in the amount of
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SHINSEI SECURITIES CO. LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

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UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

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Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)**

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To: SHINSEI SECURITIES CO. LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

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30 HUDSON STREET, 36TH FLOOR
ATTN: ANDREW CADITZ
JERSEY CITY NJ 07302

GOLDMAN, SACHS & CO.
MANAGING CLERK
RICHARDS KIBBE & ORBE LLP
ONE WORLD FINANCIAL CENTER
NEW YORK NY 10281

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UNITED STATES BANKRUPTCY COURT
Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9928 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

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To:

SHINSEI SECURITIES CO. LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

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NEW YORK NY 10281

GOLDMAN, SACHS & CO.
TRANSFEROR: SHINSEI SECURITIES CO. LTD
30 HUDSON STREET, 36TH FLOOR
ATTN: ANDREW CADITZ
JERSEY CITY NJ 07302

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Southern District of New York
One Bowling Green
New York, NY 10004-1408

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Date: 06/30/2010

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/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

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CHUO-KU
TOKYO 103-8275
JAPAN

TOKAI TOKYO SECURITIES CO, LTD
TOKAI TOKYO SECURITIES CO, LTD
17-21, SHINKAWA 1-CHOME
CHUO-KU
TOKYO 104-8317 JAPAN

Please note that your claim # 46194 in the above referenced case and in the amount of
\$984,745.79 has been transferred (**unless previously expunged by court order**)

SHINSEI SECURITIES CO LTD
TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
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Southern District of New York
One Bowling Green
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9929 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

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In re

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Chapter 11 Case No.

08-13555 (JMP)

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TOKAI TOKYO SECURITIES CO, LTD
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CHUO-KU
TOKYO 104-8317 JAPAN

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TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD
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CHIYODA-KU
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Chapter 11 Case No.

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Southern District of New York
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New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 9931 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

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To:

SHINSEI SECURITIES CO LTD
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2-1-8 UCHISAIWAI-CHO
CHIYODA-KU
TOKYO
JAPAN

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GOLDMAN, SACHS & CO.
MANAGING CLERK
RICHARDS KIBBE & ORBE LLP
ONE WORLD FINANCIAL CENTER
NEW YORK NY 10281

GOLDMAN, SACHS & CO.
TRANSFEROR: SHINSEI SECURITIES CO LTD
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ATTN: ANDREW CADITZ
JERSEY CITY NJ 07302

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Date: 06/30/2010

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 30, 2010.

EXHIBIT B

TIME: 17:40:39
DATE: 06/30/10

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

PAGE: 1

Name	Address
BARCLAYS BANK PLC	TRANSFEROR: BAYERISCHE HYPO- UND VEREINSBANK AG 745 SEVENTH AVENUE NEW YORK NY 10019
BAYERISCHE HYPO- UND VEREINSBANK AG	ATTN: DR. JOHANNES WODSAK ARABELLASTRASSE 12 MUNICH D-81925 GERMANY
BAYERISCHE HYPO- UND VEREINSBANK AG	JENNIFER C. DEMARCO & DAVID A. SULLIVAN CLIFFORD CHANCE US LLP 31 WEST 52ND STREET NEW YORK NY 10019
DAVIDSON KEMPNER DISTRESSED OPPORTUNITIES INTERNATIONAL LTD	TRANSFEROR: YORVIK PARTNERS LLP 65 EAST 55TH ST, 19TH FL NEW YORK NY 10022
FONDAZIONE CASSA DI RISPARMIO DI PADOVA E ROVIGO	TRANSFEROR: SAPHIR FINANCE PLC-SERIES 2007-2 PALAZZO DEL MONTE DI PIETA PIAZZA DUOMO, 15 PADOVA 35141 ITALY
GOLDMAN, SACHS & CO.	MANAGING CLERK RICHARDS KIBBE & ORBE LLP ONE WORLD FINANCIAL CENTER NEW YORK NY 10281
GOLDMAN, SACHS & CO.	TRANSFEROR: SHINSEI SECURITIES CO LTD 30 HUDSON STREET, 36TH FLOOR ATTN: ANDREW CADITZ JERSEY CITY NJ 07302
GOLDMAN, SACHS & CO.	TRANSFEROR: SHINSEI SECURITIES CO. LTD 30 HUDSON STREET, 36TH FLOOR ATTN: ANDREW CADITZ JERSEY CITY NJ 07302
SAPHIR FINANCE PLC-SERIES 2007-2	REED SMITH LLP ATTN : MICHAEL J. VENDITTO, ESQ. 599 LEXINGTON AVENUE NEW YORK NY 10022
SAPHIR FINANCE PLC-SERIES 2007-2	FONDAZIONE CASSA DI RISPARMIO DI PADOVA E ROVIGO ATTN: ROBERTO SARO PIAZZA DUOMO 15 PADOVA 35141 ITALY
SAPHIR FINANCE PLC-SERIES 2007-2	C/O THE BANK OF NEW YORK MELLON-LONDON BRANCH ATTN : SANJAY JOBANPUTRA-VICE PRESIDENT, GLOBAL CORPORATE TRUST ONE CANADA SQUARE LONDON E14 5AL UNITED KINGDOM
SHINSEI SECURITIES CO LTD	TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD 2-1-8 UCHISAIWAI-CHO CHIYODA-KU TOKYO JAPAN
SHINSEI SECURITIES CO. LTD	TRANSFEROR: TOKAI TOKYO SECURITIES CO, LTD 2-1-8 UCHISAIWAI-CHO CHIYODA-KU TOKYO JAPAN
TOKAI TOKYO SECURITIES CO, LTD	TOKAI TOKYO SECURITIES CO, LTD 17-21, SHINKAWA 1-CHOME CHUO-KU TOKYO 104-8317 JAPAN
TOKAI TOKYO SECURITIES CO, LTD	6-2, NIHOMBASHI 3-CHOME CHUO-KU TOKYO 103-8275 JAPAN
YORVIK PARTNERS LLP	TRANSFEROR: BANK SARASIN & CO LTD ATTN: LISA KING 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM

Total Number of Records Printed

16

EPIQ BANKRUPTCY SOLUTIONS, LLC

EXHIBIT C

Weil, Gotshal & Manges LLP
Attn: Christopher A. Stauble
767 Fifth Avenue
New York, NY 10153